

Fen-Ren CHIEN, Lung-Chien CHEN
& Yi-Tsung CHANG

Applicant or Patentee: _____ Docket No. UPA-00178
Serial or Patent Number: _____ Examiner: _____
Filed or Issued: _____ Art Unit: _____
Title: THE MANUFACTURING METHOD OF A GALLIUM NITRIDE-BASED BLUE
LIGHT EMITTING DIODE (LED) OHMIC ELECTRODES

VERIFIED STATEMENT (DECLARATION) BY AN INDEPENDENT INVENTOR
CLAIMING SMALL ENTITY STATUS UNDER 37 CFR 1.9(F) AND 1.27(B)

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for the purpose of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled THE MANUFACTURING METHOD OF A GALLIUM NITRIDE-BASED BLUE LIGHT EMITTING DIODE (LED) OHMIC ELECTRODES

by Fen-Ren CHIEN, Lung-Chien CHEN & Yi-Tsung CHANG
described in:

- ☒ The specification filed herewith.
☐ Patent application serial number _____, filed _____
☐ PCT international patent application no. _____, filed _____
☐ Patent number _____, issued _____

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey, or license any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

- ☐ No such person, concern or organization.
☒ Persons, concerns or organization listed below.

Full Name: Formosa Epitaxy Incorporation
Address: NO. 99, LUN YUAN 1ST ROAD, LUNG-TAN, TAOYUAN, TAIWAN, R.O.C.

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28 (b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Fen-Ren CHIEN

Lung-Chien CHEN

Yi-Tsung CHANG

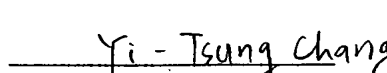
Name of Inventor

Name of Inventor

Name of Inventor







Signature of Inventor

Signature of Inventor

Signature of Inventor

August 21, 2000

August 21, 2000

August 21, 2000

Date

Date

Date

☐ CONTINUED ON PAGE 2

Fen-Ren CHIEN, Lung-Chien CHEN
& Yi-Tsung CHANG

Applicant or Patentee:

Docket No.

UPA-00178

Serial or Patent Number:

Examiner:

Filed or Issued:

Art Unit:

Title: THE MANUFACTURING METHOD OF A GALLIUM NITRIDE-BASED BLUE
LIGHT EMITTING DIODE (LED) OHMIC ELECTRODES

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS

37 CFR 1.9(F) AND 1.27(C) - SMALL BUSINESS CONCERN

I hereby declare that with regard to the small business concern identified below I am

☒ the owner of the small business concern

☐ an official of the small business concern empowered to act on behalf of same

NAME OF CONCERN: Formosa Epitaxy Incorporation

ADDRESS OF CONCERN: NO. 99, LUN YUAN 1ST ROAD, LUNG-TAN, TAOYUAN,
TAIWAN, R. O. C.

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d), for purpose of paying reduced fees under section 41 (a) and (b) of title 35, United States Code in that the number of employees of the concern, including those of its affiliates, does not exceed five Hundred(500) persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns the affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: THE MANUFACTURING METHOD OF A GALLIUM
NITRIDE-BASED BLUE LIGHT EMITTING DIODE (LED) OHMIC ELECTRODES

by inventor(s) Fen-Ren CHIEN, Lung-Chien CHEN & Yi-Tsung CHANG described in

☒ The specification filed herewith.

☐ Patent application serial number _____, filed _____.

☐ PCT international patent application no. _____, filed _____.

☐ Patent number _____, issued _____.

If the right held by the above identified small business concern are not exclusive, each individual, concern or organization having the rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a non-profit organization under 37 CFR 1.9 (e).

Full Name: Formosa Epitaxy Incorporation

Address: NO. 99, LUN YUAN 1ST ROAD, LUNG-TAN, TAOYUAN, TAIWAN, R.O.C.

☐ Individual

☒ Small Business Concern

☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.29(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Jung-Chi CHIEN

ADDRESS OF PERSON SIGNING: NO. 99, LUN YUAN 1ST ROAD, LUNG-TAN, TAOYUAN,
TAIWAN, R. O. C.

SIGNATURE:

Chien Tung Chi

DATE: August 21, 2000

**UNITED STATES OF AMERICA
COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

FILE NO.

UPA-00178

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name and that I verily believe that I am the original, first and sole inventor(if only one name is listed below) or an original, first and joint inventor(if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

THE MANUFACTURING METHOD OF A GALLIUM NITRIDE-BASED BLUE LIGHT EMITTING
the specification of which is attached hereto, unless the following box is checked: **DIODE (LED) OHMIC ELECTRODES**

☐ was filed on _____ as United States patent application Serial Number _____, or PCT International patent application

No. _____ and was amended on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to be material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate or United States provisional application(s) listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) or Provisional Application(s)

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C.119
			YES NO
			YES NO

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

UNITED STATES APPLICATION NUMBER	DATE OF FILING (day, month, year)	STATUS (patented, pending, abandoned)

I hereby appoint the agent(s), whose name(s), Registration No(s), and address is list below/per attached, as my principal agent(s) with full power of substitution and revocation to prosecute this application, to transact all business in the Patent and Trademark Office connected therewith and to receive all correspondence.

SEND CORRESPONDENCE TO :

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Saratoga, CA 95070

Tel: (408) 867-9757

Fax: (408) 867-7437

I hereby declare that all statements made herein of my own knowledge are true and that all statement made on information and belief are believed to be true; and further that these statement were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Fen-Ren CHIEN		INVENTORS SIGNATURE <i>Fen-Ren Chien</i>	DATE August 21, 2000
RESIDENCE 4F, No. 36, Yu-Hsi Street, Yung-Ho City, Taipei Hsien, Taiwan, R. O. C.		COUNTRY OF CITIZENSHIP Taiwan, R. O. C.	
POST OFFICE ADDRESS NO. 99, LUN YUAN 1ST ROAD, LUNG-TAN, TAOYUAN, TAIWAN, R. O. C.			
FULL NAME OF SECOND JOINT INVENTOR(if any) Lung-Chien CHEN		INVENTORS SIGNATURE <i>Lung-Chien Chen</i>	DATE August 21, 2000
RESIDENCE 3F, No. 66, Lung-An Road, Hsin-Chuang City, Taipei Hsien, Taiwan, R. O. C.		COUNTRY OF CITIZENSHIP Taiwan, R. O. C.	
POST OFFICE ADDRESS NO. 99, LUN YUAN 1ST ROAD, LUNG-TAN, TAOYUAN, TAIWAN, R. O. C.			
FULL NAME OF THIRD JOINT INVENTOR(if any) Yi-Tsung CHANG		INVENTORS SIGNATURE <i>Yi-Tsung Chang</i>	DATE August 21, 2000
RESIDENCE No. 228-3, Chou-Mei Street, Pei-Tou Area, Taiwan, R. O. C.		COUNTRY OF CITIZENSHIP Taiwan, R. O. C.	
POST OFFICE ADDRESS NO. 99, LUN YUAN 1ST ROAD, LUNG-TAN, TAOYUAN, TAIWAN, R. O. C.			